

## 15 - Coherent attacks

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15.1 Idea: We derive lower bound on QBER, which is exactly the same as for collective attacks. In a sense we will prove that coherent attacks are not more powerfull than collective.

The key idea is that symmetric states  $S_m^{\otimes m}$  can be equivalently replaced by product states  $\otimes S_m$  keeping the values of all Renyi entropies approx the same. Here the protocol in which A & B symmetrize their state by performing random permutations under their protocol equally secure against collective as well as against coherent attacks.

### 15.2 Preliminaries

Entanglement based approach. A & B would like to share  $(\Phi_+)_m^{\otimes m}$

Due to attack by E/noise they effectively share  $S_{AB}^m$  which can be arbitrary (entangled across the different pairs etc..)

How much secret key they can extract?

- Main problem: We do not have a product structure, so we cannot apply Dvoretzky-Winter Theorem.

- Recall classical EC & PA. When we did not have i.i.d distributions or we did not work in asymptotic limit we had to use Renyi entropies rather than Shannon.

Recall theorem on PA: If we have  $X_2$  rand variables and  $H_2(X|Z=2) \geq \epsilon$  then after applying two-

$\lceil$  eavesdropper knowledge

-universal hashing functions  $K = u(X)$ ,  $u \in U$

$$H(K|U_2=2) \geq k - 2^{k-\epsilon} / m^2 \text{ so we can}$$

extract approx  $k \approx H_2(X|Z=2)$  bits of secret key

This was under assumption that common connection has been performed if not:

$$k \approx H_2(X|Z=2) - m \lceil \text{number of bits revealed in EC}$$

$$\text{Asymptotically for i.i.d } X_2 \rightarrow (X_2)^m, \quad k \approx mH_2(X|Z) - mH(X|Y) = \\ = m(I(X:Y) - I(X:Z))$$

but this does not follow immediately from Renyi's entropies

problem is that  $H_2(X^m) = mH_2(X) \neq mH(X) = H(X^m)$

whereas we know that on typical sequences:  $H_2(X^m) = H(X^m)$

### 15.3 Smooth Renyi entropies

technical modification to make Renyi entropies more "physical". (For example  $H_0$  is not continuous in  $p(x)$ , and  $H_2(X^m) \neq H(X^m)$  for large  $m$ )

$$H_\epsilon(X) = \frac{1}{\epsilon} \log \left( \inf_{\tilde{p}(x)} \sum_x \tilde{p}(x)^\epsilon \right)$$

$$H_2^\varepsilon(x) = \frac{1}{1-\varepsilon} \log \left[ \inf_{\delta(p_x, \tilde{p}_x) < \varepsilon} \sum_x \tilde{p}(x)^\varepsilon \right]$$

where  $S(p_x, \tilde{p}_x) = \frac{1}{2} \sum_x |p(x) - \tilde{p}(x)|$  - variational distance between pmb. distributions

$$\text{for } \varepsilon = 0, \infty \quad H_2^\varepsilon = \lim_{\varepsilon \rightarrow 0, \infty} H_2^\varepsilon$$

- $H_2^\varepsilon$  is continuous

- $\lim_{\varepsilon \rightarrow 0} \lim_{n \rightarrow \infty} H_2^\varepsilon(X^n) \rightarrow H(X)$

Better PA + EC formula for key length:

There is also a bit modified form of the above RA theorem (Renner). Given  $(XYZ)$  the number of secret bits that can be distilled via PA compression and 2-universal hashing is

$$(*) \quad k \approx \underbrace{H_2^\varepsilon(X, Z) - H_0^\varepsilon(Z)}_{\text{PA}} - \underbrace{H_c^\varepsilon(X|Y)}_{\substack{\text{bits revealed} \\ \text{in EC}}}$$

(where  $\varepsilon$  is roughly probability that  $Z$  learns the final key)

Notice that thanks to properties of smooth Renyi entropies, when we consider i.i.d. situation

$$\text{so } XYZ \rightarrow (XYZ)^m \text{ then}$$

$$\begin{aligned} k &\approx m(H(X, Z) - H(Z) - H(X|Y)) = \\ &= m(I(X:Y) - I(X:Z)) \quad \left\{ \text{Cover-Hellman} \right. \end{aligned}$$

But remember that  $(*)$  applies in general to arbitrary distributions

15.4 Privacy amplification and EC in the presence of q. adversary.

$$S_{A,E} = \sum_{x_i} p(x) |x\rangle \langle x| \otimes S_E^\varepsilon \quad \uparrow \quad E \text{ information}$$

We can perform 2-universal hashing after which  $E$  can gain negligible information provided the length of the key is smaller than

$$k \approx S_2^\varepsilon(A, E) - S_0^\varepsilon(E)$$

where  $S_2^\varepsilon$  are q. smooth Renyi entropies  
 { Idea by application of hashing function we turn  $S_{AB}$  into  $\approx \sum_i \overline{p_i} \otimes S_E^\varepsilon$  so  $E$  is decoupled from  $A$

Additionally A and B need to exchange  $H_c^\varepsilon(X, Y)$

bits for communication so finally if we consider the full picture:

$$S_{ABE} = \sum_{x,y} p(x,y) |x\rangle\langle x| \otimes |y\rangle\langle y| \otimes S_E^{xy}$$

A and B can distill:

$$(**) \quad K \geq S_2^{\varepsilon}(A,E) - S_0^{\varepsilon}(E) - H_c^{\varepsilon}(A|B)$$

### 15.5 Security against Coherent attacks

- Let us assume that after the attack by E all three parties share  $S_{ABE}^m$
- After A & B perform measurements and sifting the total state can be written as:

$$S_{ABE}^m = \sum_{x,y \in \{0,1\}^m} p(x,y) |x\rangle\langle x| \otimes |y\rangle\langle y| \otimes S_E^{xy}$$

So we can apply theorem (\*\*)

Problem: How A & B can know the state  $S_{ABE}^m$ ? They cannot. What they know is just QBER. So in principle they could minimize (\*\*) over all coherent attacks that provide given QBER. Not doable!

Solution: A & B have to perform some additional operations on their parts to simplify the structure of  $S_{ABE}^m$  and make analysis manageable

### 15.6 Simplifying the structure of $S_{ABE}^m$

- We will consider only  $S_{AB}^m$  part and always grant E maximum information in the sense that E holds purification i.e.  $S_{AB}^m = \text{Tr}_E(\Psi_{ABE}^m |\Psi_m^m)$ .
- We do the same as in "collective attack case" i.e.

$$S_{AB}^m \rightarrow S_{AB}^m = \frac{1}{4^m} \sum (\sigma_{k_1} \otimes \sigma_{k_1}) \otimes \dots \otimes (\sigma_{k_m} \otimes \sigma_{k_m}) S_{AB}^m (\sigma_{k_1} \otimes \sigma_{k_1}) \otimes \dots \otimes (\sigma_{k_m} \otimes \sigma_{k_m})$$

where  $\sigma_k$  are Pauli operators acting on  $n$ -th qubit pair. So we get a state which is diagonal in Bell basis.

$$\mathcal{S}_{AB}^{(1)} = \sum_{i_1 \dots i_m=1}^4 |\psi_{i_1} \dots \psi_{i_m}\rangle \langle \psi_{i_1} \dots \psi_{i_m}|$$

Notice we have got rid of entanglement between different pairs

- We perform a random permutation of all pairs (this is the key step)

$$\mathcal{S}_{AB}^{(n)} = \frac{1}{n!} \sum_{\sigma \in S_n} \prod_{\sigma} \mathcal{S}_{AB}^{(1)} \prod_{\sigma}$$

$$\mathcal{S}_{AB}^{(n)} = \sum_{\substack{m_1, m_2, m_3, m_4 \\ m_1 + m_2 + m_3 + m_4 = n}} \mu_{m_1, m_2, m_3, m_4} \mathcal{S}_{m_1, m_2, m_3, m_4}$$

$$\text{where } \mathcal{S}_{m_1, m_2, m_3, m_4} = \frac{1}{m_1! m_2! m_3! m_4!} \prod_{\sigma} |\psi_{\sigma(1)} \rangle \langle \psi_{\sigma(1)}|^{\otimes m_1} \otimes |\psi_{\sigma(2)} \rangle \langle \psi_{\sigma(2)}|^{\otimes m_2} \otimes |\psi_{\sigma(3)} \rangle \langle \psi_{\sigma(3)}|^{\otimes m_3} \otimes |\psi_{\sigma(4)} \rangle \langle \psi_{\sigma(4)}|^{\otimes m_4} \prod_{\sigma}$$

The state is permutationally invariant (symmetric)

still hard to analyze but...

There is an interesting property of permutationally invariant states

### 15.7 Quantum de Finetti theorem

Theorem If  $S_m$  is an  $n$ -partite permutationally invariant state that can be written as  $S_m = \text{Tr}_K(S_{mK})$  (partial trace of some other permutationally invariant state) for all  $K > 0$  then

$$S_m = \sum_{\alpha} p_{\alpha} \sigma_{\alpha}^{\otimes m}$$

(is a mixture of product states)

Note In practice it is enough to let  $K$  be finite  $0 < K < n$  and we will have

$$\text{approximate version } S_m \approx \sum p_a \sigma_a^m$$

### 15.8 Reduction of Coherent attacks to Collective attacks

A & B perform parameter estimation on part of their states mappings. This way they estimate  $\sigma_2$ , and they know that the remaining bits are in state  $\sigma_2^{\otimes m-m}$ . Where  $\sigma_2 = \sum_{k=1}^m 2^{(k)} | \Psi_k \rangle \langle \Psi_k |$  is some Bell diagonal state. So the problem is reduced to collective attacks!

### 15.9 Two-way communication

All presented results were based on a simplifying assumption of one-way communication.

For two-way communication the QBER<sub>th</sub> = 20% for B8B8N. This is all based on entanglement distribution picture.